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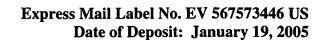
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ACE The Paperwork Reduction Act of 1995, no beisg	Application Number	10/774,682
TRANSMITTAL	Filing Date	02/09/2004
FORM	First Named Inventor	RUECKES, et al.
	Art Unit	2811
(to be used for all correspondence after initial filing)	Examiner Name	ТВА
Total Number of Pages in This Submission	Attorney Docket Number	112020.129US2 NAN-6

			ENCLOSURES (Check a	il that apply	<u> </u>		
	Fee Transi	mittal Form	Drawing(s)		After A	Illowance Communication to TC	
	Fee	e Attached	Licensing-related Papers			I Communication to Board eals and Interferences	
Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		er Final idavits/declaration(s) of Time Request bandonment Request in Disclosure Statement copy of Priority (s) lissing Parts/ e Application ply to Missing Parts	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks 1. PTO Form 1449 2. 2 Publications		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): - Postcard		
		SIGNA	TURE OF APPLICANT, ATT	ORNEY, C	R AGENT		
Firm N	ame	Wilmer Cutler Picke	ring Hale and Dorr LLP				
Signat	ure		(na)	2. ~			
Printed	i name		Peter M. Dichiara				
Date		01	1/19/2005	Reg. No.		38,005	
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Typed	or printed n	ame	Tina M. Dougal		Date	01/19/2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: RUECKES, et al.

Application No.: 10/774,682 Group Art Unit: 2811

Filed: February 9, 2004 Examiner: To Be Assigned

For: Nanotube Films and Articles Attorney Docket No.: 112020.129US2 NAN-6

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

Copies of the publications listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

The submission of the publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

It is respectfully requested that the information above be expressly considered and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Application No. 10/774,682 RUECKES, et al. Page 2

As this paper is being filed prior to the issuance of a first Office Action on the merits, and pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: January 19, 2005

Peter M. Dichiara Registration No. 38,005 Attorney for Applicants

Wilmer Culter Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6466 (telephone) (617) 526-5000 (facsimile)

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute form 1449/PTO

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(Complete if Known	
Application Number	10/774,682	
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First Named Inventor	RUECKES, et al.	
Art Unit	2811	
Examiner Name	To Be Assigned	
Attorney Docket Number	112020.129US2 NAN-6	

	NON PATENT LITERATURE DOCUMENTS					
A280 Wolf, S., Silicon Processing for the VLSI Era, Volume 2 – Process Integration, Lattice Press, Sunset Beach, 1990, 189-191, 260-273 A281 Ajayan, P.M., et al., "Nanometre-Size Tubes of Carbon", Rep. Prog. Phys. 60 (1997) 1025-1062	Examiner Initials*		title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s),	2		
Lattice Press, Sunset Beach, 1990, 189-191, 260-273 A281 Ajayan, P.M., et al., "Nanometre-Size Tubes of Carbon", Rep. Prog. Phys. 60 (1997) 1025-1062			volume-issue number(s), publisher, city and/or country where published.	T²		
(1997) 1025-1062		A280	Wolf, S., Silicon Processing for the VLSI Era, Volume 2 – Process Integration, Lattice Press, Sunset Beach, 1990, 189-191, 260-273			
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